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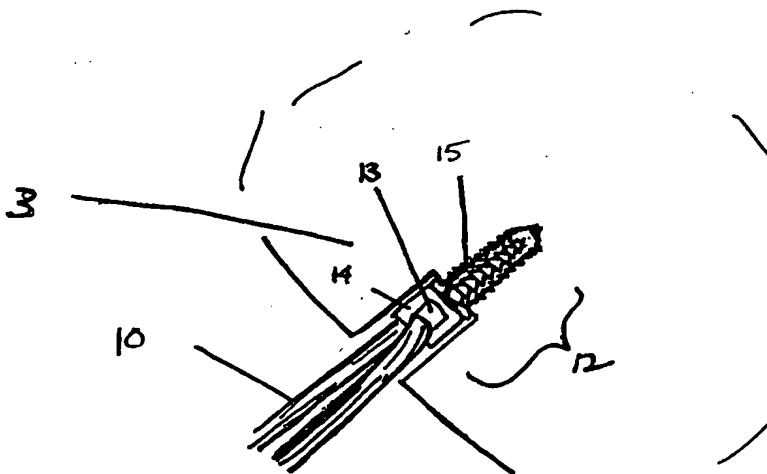
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## (57) Abstract

An anchor assembly (12) for autologous or artificial tendon grafts comprises an insertion element (14) and a stabilizing element (15). The insertion element has a stem (20) and a head (21) containing an aperture (13) large enough to receive a graft (10). The stabilizing element (15) is adapted to be embedded in bone, and comprises a sleeve (19) with a cavity (17) arranged to receive and hold the insertion element stem (20). In use, the stabilizing element (15) is affixed in the bone, and the stem (20) of the insertion element (14) is placed therein. A tendon graft (10) may be secured to the insertion element (14) either before or after its placement in the stabilizing element (15). Two such anchors may be linked with one or multiple grafts, in either a two-ply or four-ply arrangement.

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## APPARATUS AND METHODS FOR ANCHORING AUTOLOGOUS OR ARTIFICIAL TENDON GRAFTS IN BONE.

### Reference to Related Applications

5        This application claims the benefit of, prior of and is a continuation-in-part of U.S. Patent Application Serial No. 08/887,580, filed July 3, 1997 (Attorney Docket: 0383311-0076), and of U.S. Patent Application Serial No. 08/754,566, filed November 21, 1996 (Attorney Docket: 0383311-0058).

### 10    Background of the Invention

      This invention pertains to surgical systems and, more particularly, apparatus and methods for attaching autologous or artificial tendon grafts to bone. The invention has application in, for example, repair of the anterior cruciate ligament (ACL) of the knee. It may also be used, for example, for repair of other ligaments, such as of the elbow or  
15    ankle

      It is not uncommon for ligaments and other soft tissue to tear or detach from bone. Athletes, for example, often suffer tears or other injuries to the anterior cruciate ligament, one of the ligaments connecting the femur (thigh bone) and the tibia (shin bone) at the center of the knee joint. The ACL, which limits hyperextension of the knee and prevents  
20    the backward sliding of the femur on the tibial plateau, may be injured when the knee is twisted beyond the normal range of motion, e.g., when the knee is twisted while bending and weaving during skiing and other sports activities. ACL injuries may take the form of total or partial tears.

      Reconstruction is the most common form of surgery for injuries to the ACL and  
25    involves replacing the ACL with a graft of autologous or artificial tendon. An autologous tendon graft may be "harvested" from the patient's patellar ligament, which is part of the common tendon of the quadriceps femoris, connecting the patella to the tibia. An alternative autologous tendon graft may be harvested from the semitendinosus tendon, which runs posteriorly and medially along the thigh and which connects the upper femur  
30    to the tibia.

      Traditionally, patellar grafts are harvested with attached bone plugs that can be securely fixed at the ends of a bone tunnel drilled through the tibia and femur using a metallic interference screw, a metal screw and washer, or buttons. Drawbacks associated

with the use of the patellar tendon include difficulties in harvesting the tendon and post-operative complications.

More recent success has been achieved using one or more strands of the triple-stranded semitendinosus tendon, which can be harvested with minimal post-operative  
5 complications. The strands can be used alone or in combination with the gracilis tendon, which anatomically runs parallel along the thigh to the semitendinosus tendon. Although semitendinosus tendons are increasingly used in ACL repair, they are difficult to attach to bone, due in part to the absence of associated bone plugs.

The art suggests several techniques for attaching the semitendinosus tendon to  
10 bone in ACL repair. One such technique involves suturing the tendon to a button or staple on the exterior of the bone. Drawbacks associated with this method include stretching or failure of the suture, which may be subjected to tensile forces ranging from 30-50 pounds.

Another technique involves attaching a tendon graft to bone using metallic  
15 screws. Although such metal screws demonstrate stable fixation and good tensile strength, they have a number of drawbacks. These include distortion of post-operative radiological studies, an allergic or rejection reaction resulting from metal sensitivity associated with permanently implanted metal screws, and the potential need for additional operations for removal or replacement.

Another technique involves attaching a tendon graft to an anchor affixed within a  
20 tunnel drilled in the bone. One anchor intended for this use is the Mitek Ligament Anchor available from Mitek Surgical Products, Inc. That anchor includes prongs that lodge into the bone after the anchor has been pulled into position by a suture. A drawback of that anchor is that it must be lodged in the cortical layer near the surface of  
25 the femur and therefore necessitates the use of long tendon segments. In addition, the anchor's design necessitates that it be fabricated from metal to ensure sufficient holding strength.

An object of this invention is to provide improved surgical systems, and more particularly, improved methods and apparatus for attaching autologous or artificial tendon  
30 grafts to bone.

Another object of this invention is to provide improved methods and apparatus for attachment of autologous or artificial tendon grafts (*e.g.*, for ACL repair) that are in

which the attachment means can be fabricated from polymers or bioabsorbable materials, without the use of metals.

A related object of this invention is to provide methods and apparatus for attachment of autologous and artificial tendons that minimize or eliminate the risk of graft pullout normally associated with ACL or other reconstructive orthopedic surgery.

#### Summary of the Invention

The above objects are among those met by the invention, which provides improved methods and apparatus for attaching autologous or artificial tendon grafts to bone, *e.g.*, during ligament and other reconstructive surgery, including ACL reconstruction. These allow anchoring the tendon graft in the bone, without metal and without placing undue load on sutures.

In one aspect, an assembly according to the invention comprises an insertion element and a stabilizing element. The stabilizing element has an outer surface adapted for interference fit with a bone hole. The insertion element includes an aperture through which a graft may be threaded or attached, and a stem adapted to be inserted into and retained in a cavity in the stabilizing element, *e.g.*, by an interference fit. Additionally, the insertion element may comprise an aperture, slot, or barb, preferably at its distal end, to facilitate its insertion into the stabilizing element.

According to one aspect of the invention, the stabilizing element has a threaded outer surface that can be securely turned into the bone. In addition, the stabilizing element can have an inner bore that is smaller than the outer diameter of the insertion element, such that placement of the latter into the former causes the stabilizing element to deformably expand or otherwise obtain a still stronger pressure fit with the bone hole.

In another aspect, the invention provides a stabilizing element that has a flanged head that rests on the surface of the bone, outside of the bone hole, and that prevents the element from entering the bone hole beyond a certain point.

In further aspects of the invention, the insertion and stabilizing elements comprise bio-compatible materials. These avoid adverse biological reactions to the elements, as well as the need for a second surgical procedure to remove the elements.

A related aspect of the invention comprises a system comprising two or more anchoring assemblies as described above. Such a system can be used with one or more

natural or artificial grafts to repair or strengthen a skeletal bone or joint. In ACL repair, for example, one stabilizing element can be placed at one end of a bone hole drilled into the femur, and the other stabilizing element can be placed in an aligned tunnel drilled into the tibia. The first and second insertion elements can then be joined by the graft and  
5 inserted into their respective stabilizing elements. The stabilization element placed in the femur can be of the type having a threaded outer surface, while that emplaced in the tibia can be of the type having a flanged head. Such a configuration exploits the strong cancellous matter in the femur, which is well adapted to holding screw threads, and relies on the surface of the tibia to ensure a hold there.

10 Another aspect of the invention comprises a method for anchoring a graft in bone, for example for the replacement of a torn ligament. In this method, a tunnel or opening is drilled into the bone, and a stabilization element of the type described above is placed therein. A graft is looped through the head of an insertion element which, in turn, is inserted into the stabilization element. The insertion and stabilization elements may  
15 incorporate various structures designed to more effectively dispose and/or secure them in the bone tunnel, as described above. For example, the stabilization element may comprise a flanged head which prevents it from being pulled into the bone tunnel when tension is applied to the tissue graft after insertion of the insertion element.

In yet another aspect, the invention comprises a kit for securing a graft, *e.g.*, for  
20 ACL replacement. The kit comprises two stabilization elements adapted to be secured in bone. These can include, for example, a stabilization element adapted to be secured (*e.g.*, in the femur) by screw threads and a stabilization element adapted to be secured (*e.g.*, in the tibia) by a flange which prevents the element from being pulled through a bone hole. The kit further comprises two insertion elements for securing the graft to the stabilization  
25 elements. Each insertion element is provided with an aperture at the head whereby an autologous or artificial tissue graft may be attached, as well as a stem adapted for insertion into a cavity in a stabilization element. These insertion elements may also comprise an aperture, slot, or barb, to facilitate their being pulled into the corresponding stabilizing element. The kit may optionally include a graft, such as a length of artificial  
30 tendon or a length of actual semitendinosus tendon.

More generally, the invention also comprises a kit for connecting two or more bones with grafts, for example, for replacing ligaments of the ankle or elbow. In this

aspect, the kit comprises at least two stabilization elements of the types described above of appropriate size and anchoring configuration for the bones in which they are intended to be emplaced. The kit further comprises a comparable number of insertion elements of the types described above intended to be inserted into the stabilization elements, each of which comprises an aperture at the head suitable for affixing a graft. The kit may  
5 optionally include one or more lengths of artificial tissue, or it may be intended to be used with one or more autologous grafts.

Methods and apparatus of the instant invention overcome limitations of prior art systems for affixing grafts to bone. The two-piece apertured design enables construction  
10 of an anchor assembly to attach autologous or artificial tendon grafts securely within bone without the use of metal, and without placing the high loads on sutures that are associated with sewing or tying grafts directly to bone.

Yet other aspects of the invention comprise methods for ligament reconstruction, whereby various configurations of grafts are used to connect bone anchors of the types  
15 described above, or other anchors containing apertures suitable for threading grafts. These methods employ at least one graft having a filament extending from at least one of its ends. By way of example, this filament may be a suture which has been whip-stitched to the end of a tendon graft.

The graft as emplaced according to this aspect of the invention may connect the  
20 bone anchors with two or four plies of graft material. In one two-ply configuration, a single graft is used. The graft is threaded through the aperture of one bone anchor, and folded to bring its ends into proximity. The two ends are then affixed to the other bone anchor, preferably by sewing with the attached filament(s). The anchors can be affixed in bone before or after threading of the grafts.

25 A related method according to the invention also employs a single graft. The graft is threaded through the apertures in both bone anchors, and the attached filament(s) are used to connect the two ends of the graft to one another. This is preferably done by sewing the filaments at each end of the graft to the other end of the graft.

Another method according to the invention uses a single graft to connect two bone  
30 anchors via four plies of graft material, rather than by two plies as described in the previous two methods. According to this method, the graft (with at least one attached filament) is threaded through an aperture of a first bone anchor, and folded so that its ends

are brought into proximity as described above. The two ends are then threaded through an aperture of a second bone anchor, and the graft is folded again, to bring its ends in contact with the first anchor. The attached filament(s) are used to connect the ends to the first anchor, preferably by sewing.

- 5           Other methods of attaching the grafts to bone anchors employ multiple grafts. These methods can lend additional strength to the reconstructed ligament. According to one such method, a graft with attached filament(s) is threaded through an aperture of one anchor and its ends are sewn to an aperture of another anchor, as described above. In addition, a second graft is threaded through the aperture of the anchor to which the first
- 10   graft is sewn, and is sewn to the aperture of the anchor through which the first graft is threaded.

Another multiple-graft method of attachment threads two grafts (each with at least one attached filament) through the aperture of a bone anchor. The two grafts are folded, and their ends are attached to the aperture of a second bone anchor via the filaments.

- 15   Each of these multiple-graft methods connects the anchors by four plies of graft material.

These and other aspects of the invention are evident in the drawings and in the description that follows.



### Brief Description of the Drawings

A more complete understanding of the invention may be attained by reference to the drawings, in which:

Figure 1a depicts a frontal view of the bones of the knee and a partially torn  
5 anterior cruciate ligament (ACL);

Figure 1b depicts a side view of a method for creating a stepped tunnel through the tibia and partially through the femur for insertion of an anchor assembly according to the invention;

Figure 2 depicts a frontal view of a method for affixing a tendon graft into the  
10 tunnel of Figure 1b in accord with the invention;

Figure 3 depicts a detailed side view of an embedded anchor assembly of the present invention;

Figures 4a-d depict detailed views of an anchor assembly of the present invention;

Figures 5a-5c depict detailed views of the insertion element of an anchor  
15 assembly according to an alternate embodiment of the present invention;

Figure 6 depicts a detailed view of the insertion of an assembly according to yet another alternate embodiment of the present invention, this embodiment comprising the use of two stabilizing elements and two insertion elements;

Figure 7 depicts a detailed view of a stabilizing element comprising a flange;

20 Figure 8 depicts a detailed view of a graft prepared for attachment to one or more bone anchors; and

Figures 9a-9f depict detailed views of grafts linking two bone anchors according to the methods of the invention.

### 25 Detailed Description of the Invention

Figure 1a depicts a partially torn ligament of the knee, *e.g.*, the anterior cruciate ligament (ACL) 1. In the illustration, the ACL is attached to a depression in the anterior intercondylar area (not shown) on the surface of the tibial plateau 5. This tibial attachment lies in front of the anterior intercondylar tubercle and is blended with the  
30 anterior extremity of the lateral meniscus (not shown). It passes upward, backward, and laterally to be fixed into the posterior part of the medial surface of the lateral condyle (not shown) of the femur 3. The tibia 2 and the patella 4 are also shown.

Figure 1b depicts a method for creating a stepped tunnel 7 through the tibia 2 and partially through the femur 3 for insertion of an anchor assembly of the invention. In the illustration, a drill 6 is used by the surgeon to drill a tunnel beginning at the anterior surface of the tibia 2 and ending within the cancellous region of the femur 3. The drill tunnel 7 preferably will enter the femur 3 at or near the isometric point (not shown) close to the anatomical ACL attachment site in accordance with the prior art. The angle of the drill tunnel is in accord with that practiced in the prior art for semitendinosus-style ACL repair. The stepped hole is formed by use of a stepped drill bit such that the ledge separating the wider and narrower diameter tunnels lies within the cancellous portion of the femur 3, *e.g.*, within 10mm to 70 mm within the femur of the posterior part of the medial surface of the lateral condyle and, preferably, within approximately 45 mm of that surface. The drill tunnel 7 may terminate within the cancellous portion of the femur 3, or, in the alternative, the surgeon may elect initially to fully penetrate the femur 3 with a guide wire (not shown), leaving a small exit aperture 9 on the opposing surface of the femur in accordance with the prior art covering ACL reconstructive surgery. It will be appreciated by those skilled in the art that the above-described invention is not limited to embedding an anchor assembly in the femur 3 but could also be practiced to embed an anchor in the tibia 2 or in bones comprising other joints, *e.g.*, the ankle or elbow region, as well as in individual or groups of bones otherwise requiring repair or support.

Figure 2 depicts shows a graft anchor assembly 12 of the instant invention embedded in bone, for example in the cancellous layer of the femur 3. A tendon graft 10 is looped through the aperture (see detailed drawing in Figure 3) in an anchor assembly 12 with one or more free ends extending through other bone, for example, through the tibia 2.

Figure 3 depicts in more detail an anchor assembly 12 in operating position embedded in the stepped bone tunnel. The autologous or artificial tendon graft 10 is looped through aperture 13 in the head of the insertion element 14. The stabilizing element 15 is embedded in the bone tunnel, for example by screwing into the stepped tunnel. In another embodiment, the stabilizing element may be secured by means of a flange which opposes tension on the tendon graft, as shown in Figure 6. The insertion element 14 is held in the stabilizing element 15 for example by compression fit, but could also be held by other interference fit, *e.g.*, screwing (though, preferably by a thread) that

requires twist, *e.g.*, of not more than 180° (so as to avoid twisting the tendon) or by ratcheting or by other attachment mechanism for holding one element in another without excessive twisting.

Figures 4a-d depict the anchor assembly in detail. Figure 4a depicts the stabilizing element 15 which comprises an elongated sleeve 19 containing external protrusions 16, for example, external threads. Stabilizing element 15 has a cavity 17, for example an elongated axial channel 17 extending at least partway from the proximal end of stabilizing element 15. For example, axial channel 17 could extend from the proximal to the distal end of stabilizing element 15. Stabilizing element has a flanged head 18.

Stabilizing element 15 is comprised of a biocompatible material, for example, implant grade high density polyethylene, low density polyethylene (PE 6010 and PE 2030) and polypropylene (13R9A and 23M2) all made by Rexene, Dallas, Texas or of a bioabsorbable material, for example poly-L-lactide or such as a lactide-glycolide composition. It may also be comprised of a metal, such as surgical implant grade steel.

Figure 4a also depicts insertion element 14. Insertion element 14 has an aperture 13 containing head 21 for retaining a ligament replacement. Stem head 21 has an aperture 13 of a size suitable for receiving multiple strands of autologous and/or artificial tendon, but optimally for receiving two or more strands of semitendinosus tendon. The aperture 13 may have dimensions 0.10 inches - 0.35 inches (height) by 0.05 - 0.30 inches (width), and, preferably approximately 0.220 inches by 0.160 inches. Insertion element 14 has a stem 20, for example an elongated stem 20. The stem has protrusions 22 extending outwardly. Stem protrusions 22 may be inflexible. In the illustrated embodiment, the diameter of stem 20 has a larger outer diameter than the inner diameter of axial channel 17, such that stabilizing element 15 is capable of holding the insertion element 14 by compression fit upon insertion of the insertion element 14 into channel 17 of stabilizing element 15. The insertion element 12 can be tapped into the stabilizing element 15 with an emplacement device (not shown). Alternatively, the insertion element can be configured to be screwed, ratcheted or placed in other interference fit within the stabilizing element. The insertion element 12 is comprised of a biocompatible material, for example implant grade high density polyethylene, low density polyethylene (PE 6010 and PE 2030) and polypropylene (13R9A and 23M2) all made by Rexene, Dallas, Texas)

or of a bioabsorbable material, for example poly-L-lactide or such as a lactide-glycolide composition. It may also be comprised of a metal, such as surgical implant grade steel.

Figure 4b depicts axial channel 17 which has a non-cylindrical cross-section (not shown), optimally a polygon such as a hexagon. Other non-cylindrical cross-sections such as a square or pentagon or even oval configurations are also envisioned. A non-cylindrical cross-section of the axial channel 17 is designed such that a emplacement device (not shown) such as a driver (not shown) with a corresponding non-cylindrical diameter can be inserted into a axial channel and turned such that the external threads 16 of the stabilizing element 15 are screwed into and grip the bone. One such driver is, *e.g.*, an Allen wrench.

Figure 4c depicts insertion of the distal end of an insertion element 12 into the axial channel 17 at the proximal end of a stabilizing element 15. The diameter of elongated stem 20 is slightly greater than the diameter of the non-cylindrical axial channel 17 of the stabilizing element. As a result as depicted in Figure 4d, an elongated stem 20 of the insertion element 12 is held tightly in stabilizing element 15, for example by compression fit into stabilizing element 15 embedded in a stepped bone hole.

Figure 5a depicts an insertion element 10 that can be pulled into the stabilizing element 15 (Figure 4). As above, the insertion element 10 has an aperture 12 containing a head for retaining a ligament replacement and a stem 14 with outwardly expanding protrusions. The diameter of stem is greater than the diameter of axial channel such that stabilizing element 15 is capable of holding the insertion element by compression fit upon insertion of the insertion element into the channel of the stabilizing element. Additionally, the insertion element 10 contains a structure, *e.g.*, aperture 16, suitable for receiving a suture, a wire or other device that can be used to pull the element 10 into the stabilizing element 15 instead of, or in addition to, its being tapped into that element 15.

The aperture 16 or other such structure can be located at any point on the insertion element 10 but is preferably located at the distal end of the insertion element. Thus, for example, in an embodiment in which the stem of the insertion element is approximately 0.75 inches long with a diameter of 0.16 inches, the aperture is located 0.05 - 0.20 inches from the end of the insertion element and preferably 0.12 inches from the distal end.

The aperture 16 (or other such structure) is sized sufficiently to accommodate a suture, wire or other pulling device. Those of ordinary skill in the art will of course

appreciate that in lieu of an aperture, a slot, barb, hook (as shown in Figures 5b and 5c) or any other structure by which the insertion element can be pulled, can be utilized.

An anchor assembly incorporating an insertion element 10 of Figure 5a is generally implanted as described above. In ACL reconstructive surgery, for example, a tunnel is drilled at the anterior surface of the tibia and ending within the cancellous region of the femur. The drill tunnel preferably enters the femur at or near the isometric point close to the anatomical ACL attachment site in accordance with the prior art. The angle of the drill tunnel is in accord with that practiced in the prior art for semitendinosus-style ACL repair. A stepped hole is formed by use of a stepped drill bit such that the ledge separating the wider and narrower diameter tunnels lies within the cancellous portion of the femur, *e.g.*, within at least 10 mm to 70 mm within the femur of the posterior part of the medical surface of the lateral condyle and, preferably, approximately 45 mm of that surface.

Although the drill tunnel may terminate within the cancellous portion of the femur, a guide wire or K-wire is preferably used to fully penetrate the femur, leaving a small exit aperture on the opposing surface on the femur. The stabilizing element is then embedded in the drilled bone tunnel, for example, by screwing it into the stepped tunnel. At this point, the K-wire (which is preferably equipped with an eyelet at its end) is used to thread a suture through the skin, bone and through the channel of the stabilizing element. The suture is then looped through the aperture, hook, barb, or slot, or other such structure in the insertion element. The insertion element is then pulled into the stabilizing element using that suture. Those skilled in the art will appreciate that a wire, hook or other such apparatus can be used in place of the aforementioned suture.

Figure 6 depicts yet another embodiment of the invention, which employs two stabilizing elements and two insertion elements. In this embodiment, a stepped tunnel is drilled in the bone, beginning at the anterior surface of the tibia 2 and ending within the cancellous region of the femur 3, similar to the tunnel depicted in Figure 1b. The surgeon may elect initially to fully penetrate the femur 3 with a guide wire 26, leaving a small exit aperture 9 on the opposing surface of the femur in accordance with the prior art covering ACL reconstructive surgery.

A first stabilizing element 15 is then inserted in the femoral tunnel as has been described above. Insertion elements 12 and 24 are joined by a length of soft tissue 10,

such as a tendon graft, and the first insertion element 12 is inserted into the stabilizing element 15, for example by pushing into the stabilizing element 15, or by pulling with a suture, wire, or other device 26 on a small aperture, slot, barb, or hook on the insertion element 12. The second insertion element 24 is pushed into the bone tunnel, and then the  
5 second stabilizing element 28 is placed in the bone tunnel. In the preferred embodiment depicted in Figure 6, the second stabilizing element 28 comprises a flange 30 which limits the extent to which the stabilizing element can be pulled into the bone tunnel, e.g., by the tendon graft. This element is also depicted in Figure 7 and is further discussed below. In other embodiments, the second stabilizing element may be secured by means of screw  
10 threads, an interference fit, or other methods known in the art. Finally, the second insertion element 24 is inserted into the second stabilizing element 28. In the preferred embodiment depicted in Figure 6, the second insertion element is inserted into the second stabilizing element by pulling with a suture, wire, or other device 32 on a small aperture, slot, barb, or hook on the second insertion element 24.

15 In an embodiment for ACL replacement for an adult, the first stabilizing element is typically of a length 20mm, an outer diameter of 8mm, and an inner diameter of 3.5mm. The first insertion element is typically of a length 40mm and a diameter 8mm. If the insertion element is equipped with an aperture whereby it may be pulled into the stabilizing element, that aperture has a typical diameter of 1mm. The aperture for  
20 attachment of the tendon graft is typically about 5mm x 8mm. The length of the tendon graft between the insertion elements is usually about 40mm. Those skilled in the art will appreciate that the foregoing dimensions are supplied merely by way of example and that stabilization and insertion elements of sizes suited for other bones, joints and grafts can be used as well.

25 The surgeon can adjust the tension on the tendon graft by controlling the extent to which the insertion elements are inserted into the stabilizing elements. Insertion elements are typically designed so that full strength hold of the insertion element in the stabilizing element is obtained when the insertion element is inserted at least halfway into the stabilizing element. Thus, the depth of each insertion element is adjustable over a length  
30 of 10mm for this preferred embodiment.

The second stabilizing element 28 of Figure 6 is also illustrated in Figure 7. This element comprises an elongated body 29, having a channel 31 for receiving an insertion

element. The stabilizing element also comprises a flange 30, which prevents the element from being pulled completely into the bone hole by tension on a graft attached to an insertion element deployed in channel 31. The flange 30 may be perpendicular to the elongated body 29, or may be at an oblique angle to the body 29, as depicted in Figure 7.

5 The flange 30 may also be contoured to correspond to the shape of the outer surface of the bone in which it is to be emplaced. The surgeon may also elect to countersink the bone tunnel, so that the outer surface of the emplaced flange 30 is flush with the surface of the surrounding bone. In this embodiment, it may be desirable for the flange 30 to be tapered, having a thicker cross-section at the intersection of the flange 30 with the body  
10 29 than at the outer edge of the flange 30.

When this embodiment is used in ACL repair as depicted in Figure 6, the second stabilizing element has a typical length of 15mm, an outer diameter of 8mm, and an inner diameter of 3.5mm. The flange has a typical outer diameter of 12mm, and a typical thickness of 1mm. The second insertion element has a length 40mm and a diameter 8mm.  
15 If the second insertion element is equipped with an aperture whereby it may be pulled into the stabilizing element, that aperture has a typical diameter of 1mm. The aperture for attachment of the tendon graft is typically about 5mm x 8mm. Those skilled in the art will appreciate that the foregoing dimensions are supplied merely by way of example and that stabilization and insertion elements of sizes suited for other bones, joints and grafts  
20 can be used as well.

The second insertion element and second stabilizing element, like the first elements, are comprised of a biocompatible material, for example implant grade high density polyethylene, low density polyethylene (PE 6010 and PE 2030) and polypropylene (13R9A and 23M2) all made by Rexene, Dallas, Texas or of a  
25 bioabsorbable material, for example poly-L-lactide or such as a lactide-glycolide composition. These elements may also be comprised of a metal, such as surgical implant grade steel.

It will be apparent to those skilled in the art that the above-described invention is not limited to connecting the femur and tibia in an ACL reconstructive procedure, but  
30 could also be practiced to support or repair any bone or pair of bones with a length of soft tissue, *e.g.*, in the ankle or elbow region.

The invention further comprises methods for connecting at least two bone anchors, for example those described above, with one or more lengths of graft material. Figure 8 shows a graft 10 prepared according to a preferred embodiment of this aspect. The graft 10 may be an autologous tendon graft such as a length of semitendinosis or gracilis tendon, or an artificial graft. The graft 10 has a filament 40 (such as a suture) attached to at least one of its ends. In the preferred embodiment illustrated in Figure 8, a suture 40 is whip-stitched to each end of the graft.

The anchors may be connected with one or with multiple grafts, in either a two-ply or four-ply configuration. Some configurations according to the invention are illustrated in Figures 9a-9f. In Figure 9a, a single graft 10 is used to connect two anchors 42 and 44 in a two-ply configuration. A graft 10 prepared as illustrated in Figure 8 is threaded through an aperture in one anchor 44, and then folded so that the two ends of the graft 10 can be tied and/or sewn to an aperture in the other anchor 42 using the filament 40.

A related embodiment is illustrated in Figure 9b. In this embodiment, the prepared graft 10 is threaded through apertures in each of the two anchors 42 and 44. The sutures 40 attached to the prepared graft are then tied, or, preferably, sewn, to connect the ends of the graft 10. This embodiment is also a two-ply arrangement.

A four-ply connection between the anchors is achieved using a single graft in the embodiment illustrated in Figures 9c and 9d. A prepared graft 10 is first threaded through one anchor 42, and folded to bring the ends of the graft 10 into contact. These two ends are then threaded through the other bone anchor 44. Figure 9c illustrates the configuration of the graft at this point in its emplacement. The graft 10 is then folded in half again, to bring the ends back to the first anchor 42, and the ends are sewn and/or tied there with the attached filaments 40, as illustrated in Figure 9d. Section A-A of that figure shows the four plies of graft material 10 which now connect the bone anchors.

Other embodiments which achieve a four-ply connection between the anchors using multiple tendon grafts are illustrated in Figures 9e and 9f. In the first of these, one graft 10, prepared as shown in Figure 8, is threaded through an aperture in a first anchor 44, and the sutures 40 at the end of the graft are sewn and/or tied to an aperture in a second anchor 42. A second graft 46 is then passed through the aperture in the second anchor 42, and sewn and/or tied to the aperture in the first anchor 44 with its attached



sutures 48. In the second embodiment, illustrated in Figure 9f, two grafts 10 and 46 are threaded through an aperture in the first anchor 44, and these two grafts are both sewn and/or tied to the aperture in the second anchor 42 with their attached sutures 40 and 48.

5 It will be apparent to one skilled in the art of ligament reconstruction that each of the embodiments illustrated in Figures 9a-9f has different strengths, and that the preferred configuration for a particular use of the invention will depend on the ligament being replaced, the location and type of the bone anchors, and on whether the graft is attached to the anchors before or after they are affixed to the bone.

Described above are apparatus and methods meeting the objects set forth above.

10 Those skilled in the art will appreciate that the illustrated embodiments are shown and described by way of example only, and that other methods and apparatus incorporation modifications therein fall within the scope of the invention. For example, in addition to ACL reconstruction, the invention can be beneficially applied in connection with other soft tissue-to-bone attachments using bone tunnels, such as (by way of non-limiting

15 example) repair of ligaments and tendons in other joints such as the elbow and ankle. In view of the foregoing, what we claim is:

1. An assembly for anchoring soft tissue or artificial grafts in bone, comprising:
  - an insertion element comprising a stem and an aperture-containing stem head proximal to said stem, said aperture being of a size sufficiently large to receive a soft tissue graft; and
  - 5 a stabilizing element adapted to be embedded in bone comprising a sleeve having a cavity, said cavity being arranged and constructed so as to receive and hold said stem.
2. An assembly for anchoring soft tissue grafts in bone, comprising:
  - 10 an insertion element comprising a stem and an aperture-containing stem head proximal to said stem, said aperture being of a size sufficiently large to receive a soft tissue graft; and
  - a stabilizing element adapted to be embedded in bone comprising a sleeve having a cavity, said cavity being elongated and having an inner
  - 15 diameter slightly smaller than an outer diameter of said stem, such that said sleeve is capable of holding said stem by compression fit.
3. An assembly according to claims 1 or 2, wherein the insertion element further comprises any of an aperture, slot, and barb by which said insertion element
- 20 can be pulled into said bone hole.
4. An assembly according to claim 3, wherein said any of an aperture, slot and barb is disposed at the distal end of the insertion element.
- 25 5. An assembly according to claims 1 or 2, wherein at least one of said stem of said insertion element and said sleeve of said stabilizing element are elongate and have protrusions on their outer surfaces.
6. An assembly according to claim 5, wherein said protrusions on said stabilizing
- 30 element comprise threading amenable to being screwed into an opening drilled into bone.

7. An assembly according to claims 1 or 2, wherein said stabilizing element comprises a flange at its distal end, whereby upon embedding of the stabilizing element in the bone hole, the flange is disposed at least partially outside the bone hole in a configuration whereby it will oppose any further movement of the stabilizing element into the bone hole.
8. An assembly according to claims 1 or 2, wherein said cavity comprises an axial channel, the cross-section of said channel being non-cylindrical, said axial channel extending between proximal and distal ends of said elongated sleeve.
9. An assembly for anchoring soft tissue grafts in bone, comprising:  
an insertion element comprising an elongated stem and an aperture-containing stem head proximal to said stem, said aperture suitably sized for passage of a soft tissue graft therethrough; and  
a stabilizing element capable of insertion into an opening drilled into bone and comprising an elongated sleeve having an axial channel, said channel having a diameter slightly smaller than that of said elongated stem of said insertion element such that said stabilizing element will expand upon insertion of said insertion element into said channel.
10. The assembly according to claim 9, wherein the insertion element further comprises any of an aperture, slot, or barb by which said insertion element can be pulled into said bone hole.
11. An assembly according to claim 9 or 10, wherein a cross-section of said axial channel is non-cylindrical.
12. The assembly according to claim 11, wherein said stabilizing element can be deformably expanded to obtain a pressure fit within a bone opening upon insertion of said insertion element into said non-cylindrical aperture of said stabilizing element.

13. The assembly according to claims 1, 2, 9 or 10, wherein said insertion and stabilizing elements comprise bio-compatible material.

5 14. The assembly according to claims 1, 2, 9 or 10, wherein said stabilizing element has a fanged proximal end.

15. An assembly for anchoring soft tissue grafts into bone, comprising:  
an insertion element comprising an elongated stem and aperture-containing stem head proximal to said stem, said aperture being of a size  
10 sufficiently large to receive a soft tissue graft; and  
a stabilizing element adapted to be embedded in bone comprising a elongated sleeve with external threads and an axial channel passing therethrough, said axial channel having a non-cylindrical cross-section such that an emplacement device can be inserted therein for screwing  
15 said threads of said stabilizing element into said bone.

16. The assembly according to claim 15, wherein the insertion element further comprises any of an aperture, slot, and barb by which said insertion element can be pulled into said bone hole.

20 17. An assembly according to claim 10 or 16, wherein said any of an aperture, slot and barb is disposed at the distal end of the insertion element.

18. The assembly of claim 1, 2, 9, or 15, further comprising:  
25 a second insertion element comprising a stem and an aperture-containing stem head proximal to said stem, said aperture being of a size sufficiently large to receive a soft tissue graft; and  
a second stabilizing element adapted to be embedded in bone comprising a sleeve having a cavity, said cavity being arranged and constructed so  
30 as to receive and hold the stem of the second insertion element.

19. The assembly of claim 18, wherein at least one of the insertion element and the second insertion element further comprises any of an aperture, slot, or barb by which said insertion element can be pulled into said bone hole.

5 20. The assembly of claim 18, wherein at least one of the stabilizing element and the second stabilizing element comprises a flange at its distal end, whereby upon embedding of the stabilizing element in the bone hole, the flange is disposed at least partially outside the bone hole in a configuration whereby it will oppose further movement of the stabilizing element into the bone hole

10

21. A method for anchoring soft tissue within bone comprising: drilling an opening into bone;  
inserting into said bone opening a stabilizing element comprising an elongated sleeve with an axial channel extending therethrough;  
15 threading soft tissue through an aperture in an insertion element comprising an aperture-containing stem head proximally located to an elongated stem, said stem having a diameter slightly larger than that of said axial channel of said elongated sleeve; and  
inserting the distal end of said insertion element into proximal end of said  
20 stabilizing element.

22. A method according to claim 21, wherein said soft tissue is a tendon graft.

23. A method according to claim 21, wherein the method of drilling said opening  
25 comprises creating a stepped opening.

24. A method according to claim 23, wherein the stepped opening has at least two different diameters, one less than the diameter of the stabilizing element, and one greater than the diameter of the stem head.

30

25. A method according to claim 24, wherein said elongated sleeve of said stabilizing element is screwed into said bone opening at the diameter where said stepped bone opening is slightly smaller than that of said elongated sleeve.
- 5 26. A method according to claim 25, wherein said axial channel in the stabilizing device is non-cylindrical, and wherein said stabilizing element is screwed into said stepped bone opening by use of an emplacement device fitted into said non-cylindrical axial channel.
- 10 27. A method according to claim 21, wherein said insertion element retaining said soft tissue is inserted forcibly into said stabilizing element screwed into said stepped bone hole.
- 15 28. A method according to claim 21, wherein said stabilizing element comprises a flange at its distal end, whereby upon insertion of the stabilizing element in the bone opening, the flange is disposed at least partially outside the bone opening in a configuration whereby it will oppose further movement of the stabilizing element into the bone opening.
- 20 29. A method for anchoring soft tissue within bone comprising:  
drilling an opening into bone;  
inserting into said bone a stabilizing element comprising an elongated sleeve with an axial channel extending therethrough;  
threading soft tissue through an aperture in an insertion element comprising an  
25 aperture containing stem head proximally located to an elongated stem, said stem having a diameter slightly larger than that of said axial channel of said elongated sleeve; and  
pulling the distal end of said insertion element into proximal end of said  
stabilizing element.
- 30

30. The method of claim 21 or 29, further comprising:

drilling a second opening into bone;

inserting into said second bone opening a second stabilizing element

comprising an elongated sleeve with an axial channel extending

5 therethrough;

threading the soft tissue through an aperture in a second insertion element

comprising an aperture-containing stem head proximally located to an

elongated stem, said stem having a diameter slightly larger than that

of said axial channel of said elongated sleeve; and

10 inserting the distal end of said second insertion element into proximal end of  
said second stabilizing element.

31. The method of claim 30 wherein at least one of the stabilizing element and the

second stabilizing element comprises a flange at its distal end, whereby upon

15 insertion of the stabilizing element into a bone opening, the flange is disposed

at least partially outside the bone opening in a configuration whereby it will

oppose further movement of the stabilizing element into the bone opening.

32. A method for replacing a torn ligament comprising:

20 obtaining a tendon graft;

drilling a hole into bone;

looping said tendon graft through an aperture in an insertion element;

inserting a stabilizing element comprising a sleeve with a cavity therein into

said hole; and

25 inserting an insertion element comprising a stem with an aperture-containing

stem head at the proximal end of said stem into said stabilizing

element.

33. The method of claim 32 wherein said ligament is an anterior cruciate ligament and

30 said bone aperture is in either a femur or tibia.

34. The method of claim 32, further comprising:

drilling a second opening into bone;

inserting into said second bone opening a second stabilizing element  
comprising an elongated sleeve with an axial channel extending  
therethrough;

looping the tendon graft through an aperture in a second insertion element  
comprising an aperture-containing stem head proximally located to an  
elongated stem, said stem having a diameter slightly larger than that  
of said axial channel of said elongated sleeve; and

inserting the distal end of said second insertion element into proximal end of  
said second stabilizing element.

35. The method of claims 21 or 32 wherein said stabilizing element is affixed into  
bone by interference fit.

36. The method of claims 21 or 32 wherein said stabilizing element comprises a  
flange at its distal end, whereby upon insertion of the stabilizing element into a  
bone opening, the flange is disposed at least partially outside the bone opening  
in a configuration whereby it will oppose further movement of the stabilizing  
element into the bone opening.

37. A method for replacing a torn ligament comprising:

obtaining a tendon graft;

drilling a hole into bone;

looping said tendon graft through an aperture in an insertion element;

inserting a stabilizing element comprising a sleeve with a cavity therein into  
said hole; and

pulling an insertion element comprising a stem with an aperture containing  
stem head at the proximal end of said stem and any of an aperture,  
slot and barb at the distal end of said stem.



38. The method of claim 37, further comprising:

drilling a second opening into bone;

inserting into said second bone opening a second stabilizing element

comprising an elongated sleeve with an axial channel extending

5 therethrough;

looping the tendon graft through an aperture in a second insertion element

comprising an aperture-containing stem head proximally located to an

elongated stem, said stem having a diameter slightly larger than that

of said axial channel of said elongated sleeve, and any of an aperture,

10 slot, and barb at the distal end of said stem; and

pulling the second insertion element into the stabilizing element.

39. The method of claim 34 or 38, wherein said ligament is an anterior cruciate

ligament, said bone opening is in a femur, and said second bone opening is in

15 a tibia.

40. The method of claim 34 or 38, wherein at least one of said stabilizing element and  
said second stabilizing element is affixed into bone by an interference fit.

20 41. The method of claim 34 or 38, wherein at least one of said stabilizing element and  
said second stabilizing element is affixed into bone by means of screw threads.

42. The method of claim 34 or 38, wherein at least one of said stabilizing element and  
said second stabilizing element comprises a flange at its distal end, whereby  
25 upon insertion of the stabilizing element into a bone opening, the flange is  
disposed at least partially outside the bone opening in a configuration whereby  
it will oppose movement of the stabilizing element into the bone opening.

43. A system for ligament reconstruction, comprising

30 (a) at least two bone anchors, each arranged for fixation to bone and each  
comprising an aperture;

(b) a graft having at least two ends, at least one having a filament extending therefrom,

(c) the graft being threaded through the aperture of one of the anchors,

(d) the filament affixing the two ends of the graft to the aperture of the other anchor.

5

44. A system for ligament reconstruction, comprising

(a) at least two bone anchors, each arranged for fixation to bone and each comprising an aperture,

10 (b) a graft having at least two ends, at least one having a filament extending therefrom,

(c) the graft being threaded through the apertures of each of the two anchors,

(d) the filament affixing the two ends of the graft to each other.

15 45. A system for ligament reconstruction, comprising

(a) at least a first and a second bone anchor, each arranged for fixation to bone and each comprising an aperture,

(b) a graft having at least two ends, at least one having a filament extending therefrom,

20 (c) the graft being threaded through the aperture of the first anchor,

(d) the two ends of the graft being threaded through the aperture of the second anchor, and

(e) the filament affixing the two ends of the graft to the aperture of the first anchor.

25

46. A system for ligament reconstruction, comprising

(a) at least a first and a second bone anchor, each arranged for fixation to bone and each comprising an aperture,

(b) at least a first and a second graft, each having at least two ends, at least one end of each graft having a filament extending therefrom,

30

(c) the first graft being threaded through the aperture of the first anchor,

(d) the filament of the first graft affixing the two ends of the first graft to the aperture of the second anchor,

(e) the second graft being threaded through the aperture of the second anchor, and

5 (f) the filament of the second graft affixing the two ends of the second graft to the aperture of the first anchor.

47. A system for ligament reconstruction, comprising

10 (a) at least a first and a second bone anchor, each arranged for fixation to bone and each comprising an aperture,

(b) at least a first and a second graft, each having at least two ends, at least one end of each graft having a filament extending therefrom,

(c) the first graft being threaded through the aperture of the first anchor,

15 (d) the filament of the first graft affixing the two ends of the first graft to the aperture of the second anchor,

(e) the second graft being threaded through the aperture of the first anchor, and

(f) the filament of the second graft affixing the two ends of the second graft to the aperture of the second anchor.

20 48. The system of claim 43, 44, 45, 46, or 47, where at least one filament is a suture.

49. The system of claim 43, 44, 45, 46, or 47, where the bone anchors are embedded in bone tunnels.

25 50. The system of claim 49, where the bone tunnels are collinear.

51. The system of claim 43, 44, 45, 46, or 47, where the graft is an autologous tendon graft.

30 52 The system of claim 51, where the graft is one of a length of semitendonosis tendon and a length of gracilis tendon.

53. The system of claim 43, 44, 45, 46, or 47, where the graft is an artificial tendon graft.
54. The system of claim 43, 44, 45, 46, or 47, where the system is for repair of the anterior cruciate ligament.
55. The system of claim 43, 44, 45, 46, or 47, where at least one of the bone anchors comprises a stabilization element adapted to be affixed in bone, and an insertion element adapted to be inserted into the stabilization element.
56. A method of ligament reconstruction, comprising:
- (a) extending a filament from at least one end of a graft having at least two ends,
  - (b) threading the graft through an aperture in a first bone anchor,
  - (c) using the filament to affix the two ends of the graft to the aperture of the other anchor,
  - (d) affixing the first anchor in bone, and
  - (e) affixing the second anchor in bone.
57. A method of ligament reconstruction, comprising:
- (a) extending a filament from at least one end of a graft having at least two ends,
  - (b) threading the graft through apertures in each of a first and a second bone anchor,
  - (c) using the filament to affix the two ends of the graft to each other,
  - (d) affixing the first anchor in bone, and
  - (e) affixing the second anchor in bone.
58. A method of ligament reconstruction, comprising:
- (a) extending a filament from at least one end of a graft having at least two ends,
  - (b) threading the graft through an aperture in a first bone anchor,

(c) threading the two ends of the graft through an aperture in a second bone anchor,

(d) using the filament to affix the two ends of the graft to the aperture of the other anchor.

5 (e) affixing the first anchor in bone, and

(f) affixing the second anchor in bone.

59. A method of ligament reconstruction, comprising:

10 (a) extending a first filament from at least one end of a first graft having at least two ends,

(b) extending a second filament from at least one end of a second graft having at least two ends,

(c) threading the first graft through an aperture in a first bone anchor,

15 (d) using the first filament to affix the two ends of the first graft to an aperture of a second bone anchor,

(e) threading the second graft through the aperture in the second anchor,

(f) using the second filament to affix the two ends of the second graft to the aperture in the first anchor,

(g) affixing the first anchor in bone, and

20 (h) affixing the second anchor in bone.

60. A method of ligament reconstruction, comprising:

(a) extending a first filament from at least one end of a first graft having at least two ends,

25 (b) extending a second filament from at least one end of a second graft having at least two ends,

(c) threading the first graft through an aperture in a first bone anchor,

(d) using the first filament to affix the two ends of the first graft to an aperture of a second bone anchor,

30 (e) threading the second graft through the aperture in the first anchor,

(f) using the second filament to affix the two ends of the second graft to the aperture in the second anchor,

- (g) affixing the first anchor in bone, and
- (h) affixing the second anchor in bone.

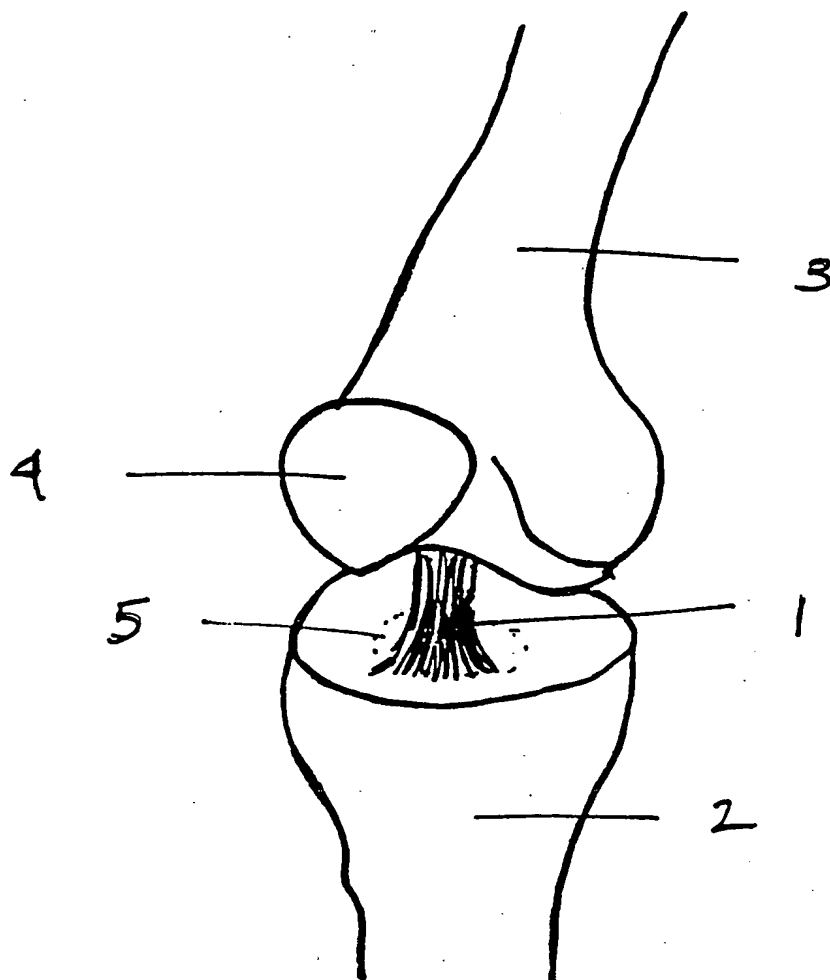


FIGURE 1a

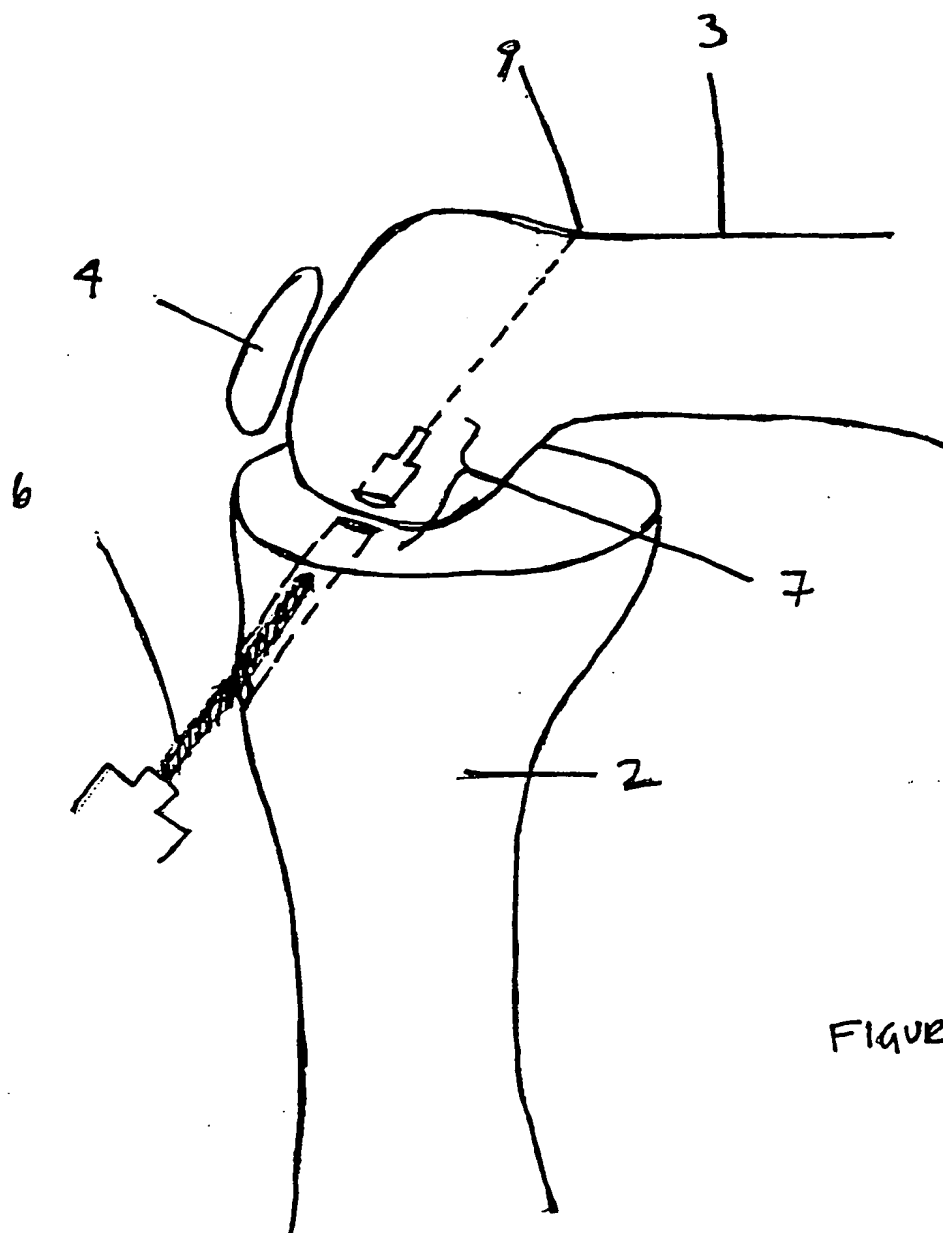


FIGURE 1b



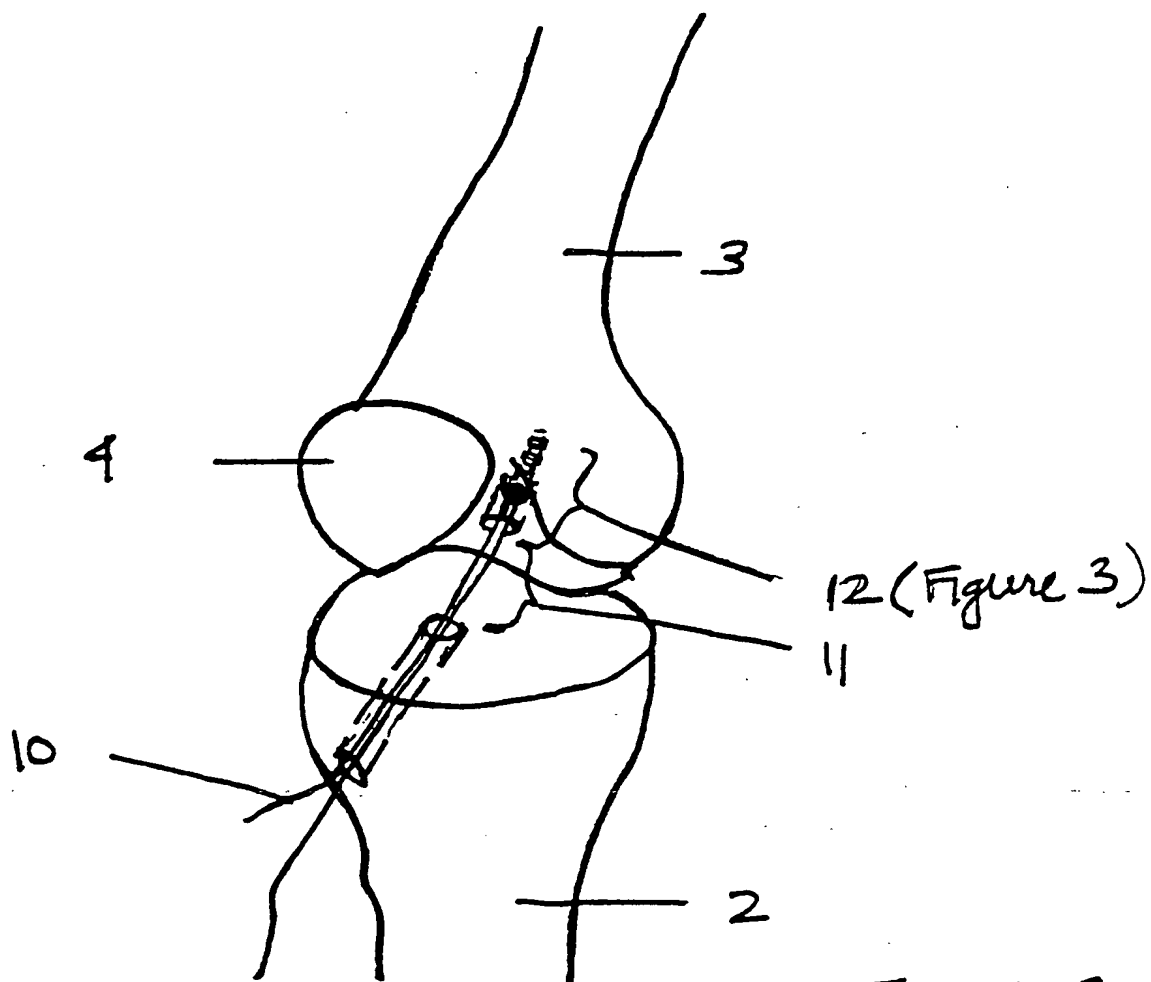


FIGURE 2

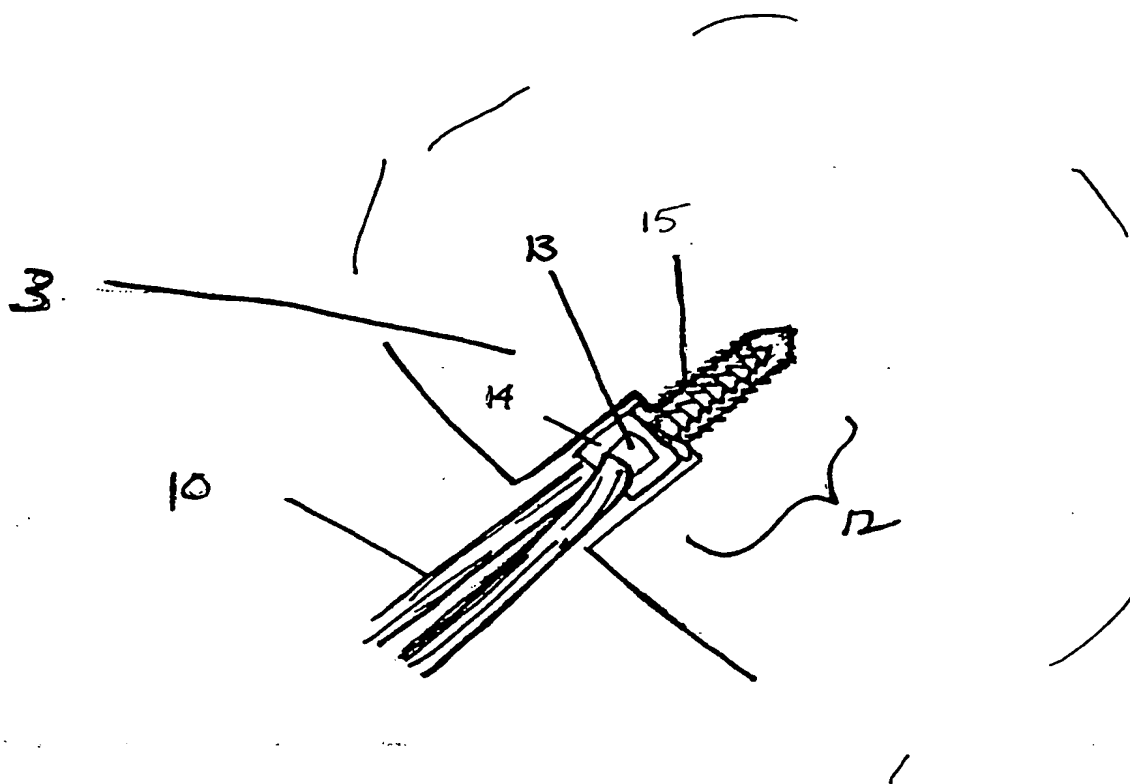


FIGURE 3

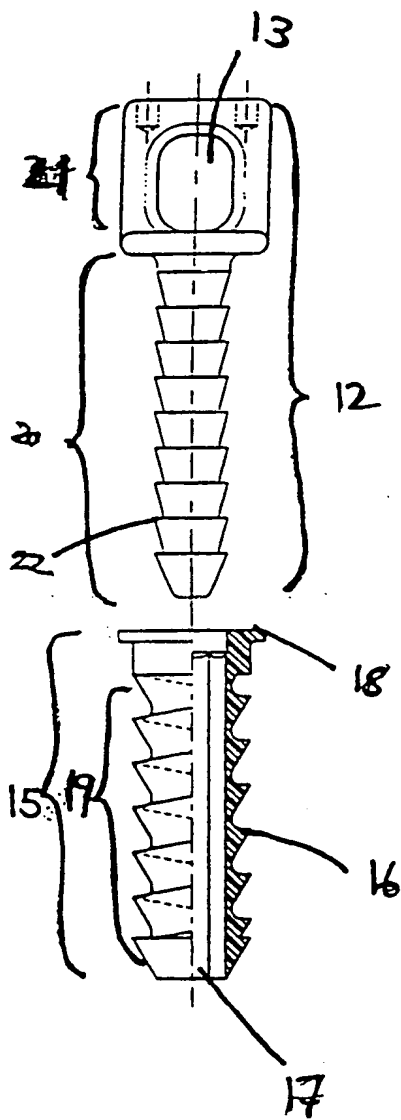


Fig. 4a

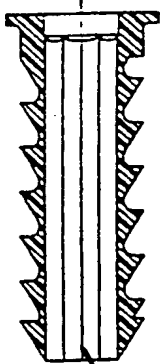
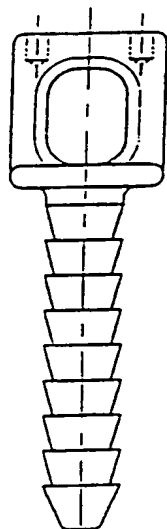


Fig 4b

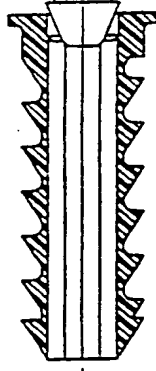
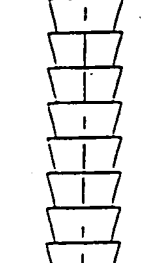
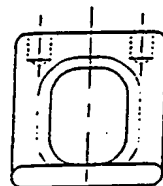


Fig 4c

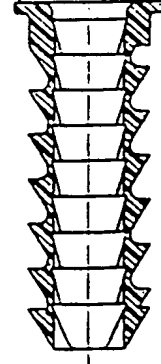
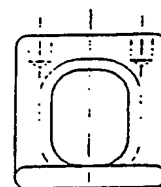


Fig. 4d

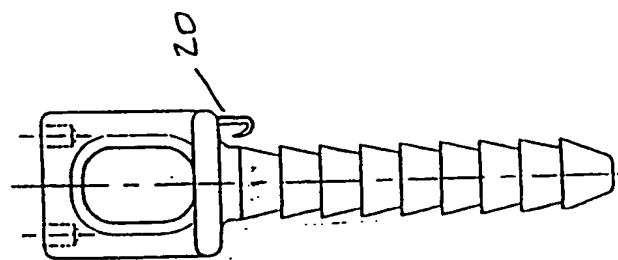


Figure 5c

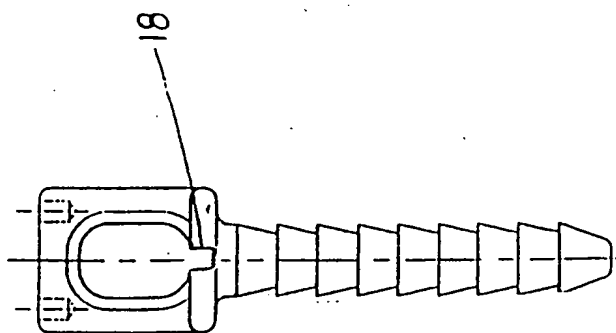


Figure 5b

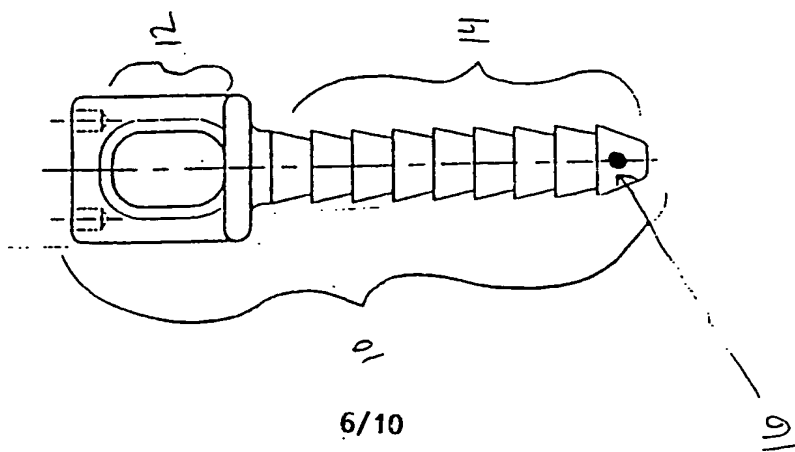


Figure 5a

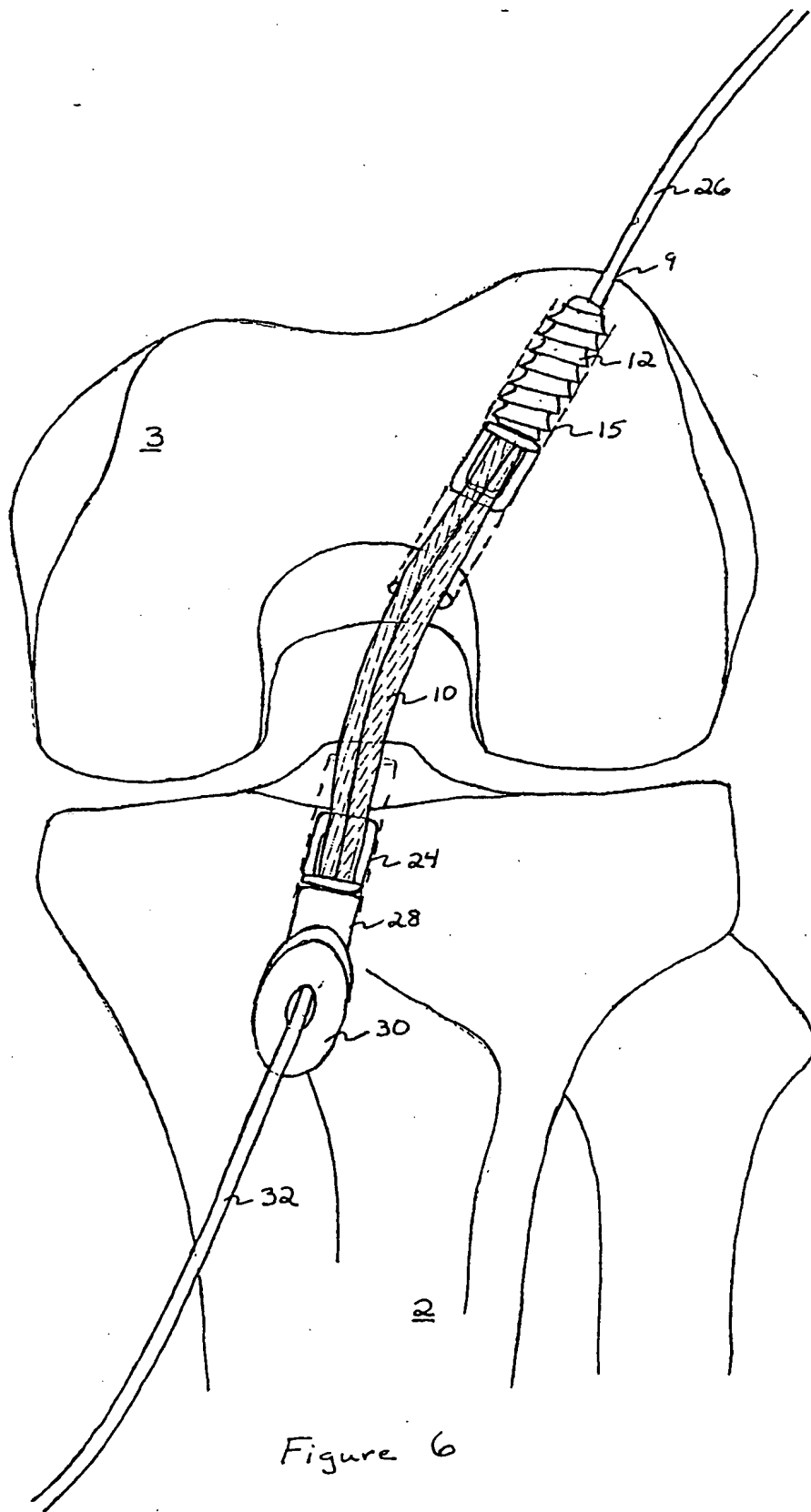


Figure 6

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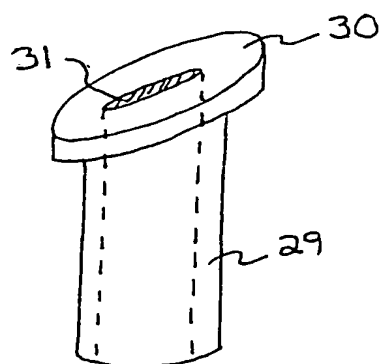


Figure 7

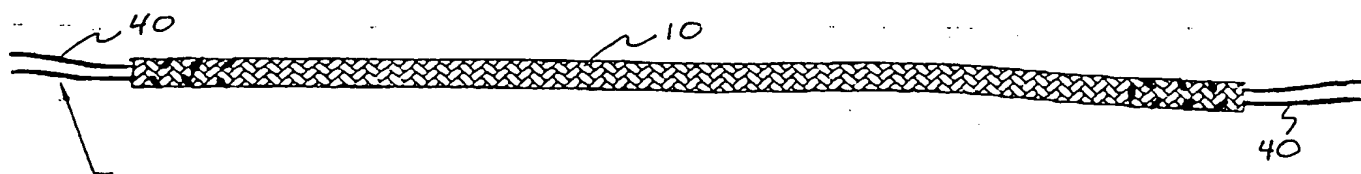


Figure 8

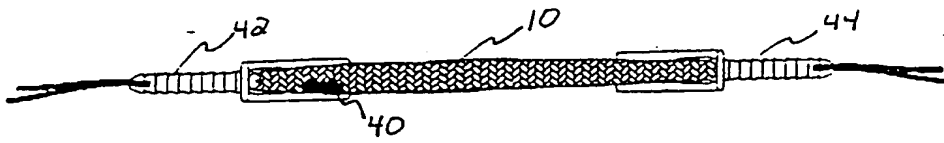


Figure 9a

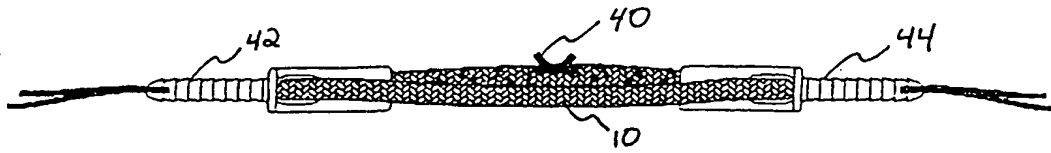


Figure 9b

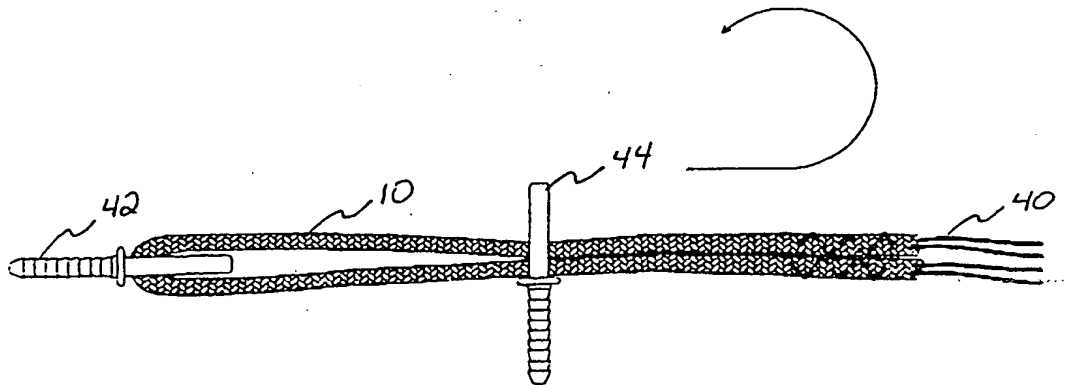


Figure 9c

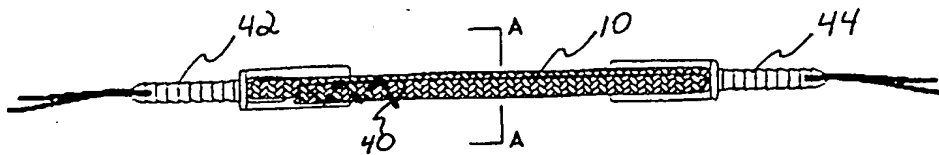


Figure 9d



SECTION A-A

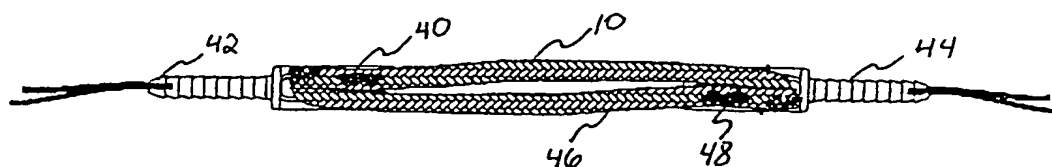


Figure 9e

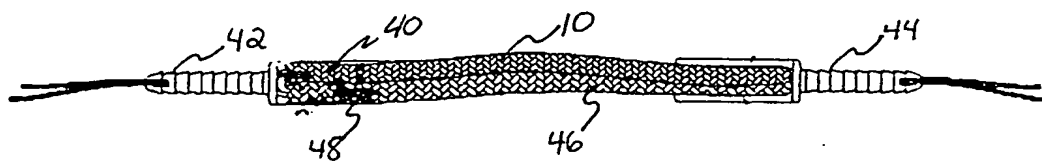


Figure 9f



# INTERNATIONAL SEARCH REPORT

International application No.  
PCT:US97/22061

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) A61F 2/08  
US CL 623:13

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. 606/63, 72, 73; 623-13, 16

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|--|-----------------------|
|------------|--|-----------------------|

Please See Continuation of Second Sheet.

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

|   |  |
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| Date of the actual completion of the international search<br>20 JANUARY 1998 | Date of mailing of the international search report<br>20 FEB 1998 |
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## INTERNATIONAL SEARCH REPORT

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| C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT |   |   |
|---|---|---|
| Category*   | Citation of document, with indication, where appropriate, of the relevant passages                      | Relevant to claim No  |
| X<br>---<br>Y   | US 4,870,957 A (GOBLE et al) 03 October 1989, entire document.  | 1, 3/1, 4/1, 5/1,<br>6/5/1, 13/1, 18/1,<br>21, 22, 27, 30/21,<br>32, 33, 34, 36,<br>39/34, 41/34<br>-----<br>2, 3/2, 4/2, 5/2,<br>6/5/2, 7/2, 8/2, 9-<br>12, 13/2, 13/9,<br>13/10, 15-17,<br>18/2, 18/9, 18/15,<br>19, 20, 28,<br>31/30/21, 35,<br>40/24, 42/34 |
| Y   | WO 95/15726 A (NICHOLSON et al) 15 June 1995, entire document including Fig. 2, and page 9 lines 28-30. | 2, 3/2, 4/2, 5/2,<br>6/5/2, 7/2, 8/2, 9-<br>12, 13/2, 13/9,<br>13/10, 15-17,<br>18/2, 18/9, 18/15,<br>19, 20, 28,<br>31/30/21, 42/34  |

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